

Shoprite Group

Promotion of Access to Information Act 2 of 2000 Manual

Document Properties

Entities: Collectively the "Shoprite Group" or "Shoprite"	Checkers (Chatsworth) Ltd Computicket (Pty) Ltd Entry Ninja (Pty) Ltd Flicape (Pty) Ltd Flicape Asset Management (Pty) Ltd Freshmark (Pty) Ltd Metcash Seven Eleven (Pty)Ltd OK Bazaars (Venda) Ltd Parys Development Properties (Pty) Ltd President Hyper Manco (Pty) Ltd President Hyper Supermarkets (Pty) Ltd	Rogel Wholesalers (Pty) Ltd Shoprite Checkers (Pty) Ltd Shoprite DTMC (Pty) Ltd Shoprite Financial Services Ltd Shoprite Holdings Ltd Shoprite Insurance Company Ltd Shoprite Next Capital (Pty) Ltd Shoprite Money Transfers (Pty) Ltd Shoprite Supermarkets (Pty) Ltd The Block Meat Company (Pty) Ltd Transpharm (Pty) Ltd Welmed Marketing (Pty) Ltd
Document name	Promotion of Access to Information Act 2 of 2000 Manual ("The PAIA Manual")	
Applicability	This manual only applies to those entities carrying on a trade or business and does not relate to our dormant companies. A full list of all the dormant companies within the Group is available on request from our Deputy Information Officer.	
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Internal Reviewers

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Mareon Basson and Marie van Heerden	Legal and Group Compliance	Legal Advisor and Group Compliance Manager	V3
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Marie van Heerden	Group Compliance	Group Compliance Manager	V3.2
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Marie van Heerden	Group Compliance	Group Compliance Manager	V3.5

Review and Approvals

Date Approved	Approved By	Version
September 2020	Pieter du Preez	V2
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1. LIST OF ACRONYMS AND ABBREVIATIONS

- 1.1 "PAIA": Promotion of Access to Information Act No. 2 of 2000 (as amended);
- 1.2 "POPIA": Protection of Personal Information Act No.4 of 2013;
- 1.3 "Regulator": Information Regulator.

2. INTRODUCTION TO PAIA AND POPIA

The Promotion of Access to Information Act, No. 2 of 2000 ("PAIA") seeks to:

- 2.1 give effect to the constitutional right to access information as contained in section 32 of the Bill of Rights;
- 2.2 advance the values of transparency and accountability;
- 2.3 establish certain statutory rights of requesters to access records of a private body if:
 - that record is required for the exercise or protection of any rights;
 - that requester complies with all the procedural requirements; and
 - access is not refused in terms of any ground referred to in the PAIA;
- 2.4 give effect to the constitutional right to privacy as contained in section 14 of the Bill of Rights. The POPIA seeks to safeguard personal information by regulating the manner in which it may be processed by public and private bodies.

The POPIA provides that data subjects have the right to have their personal information processed in accordance with the conditions for the lawful processing of personal information, which are set out in the POPIA.

- 2.5 One of the requirements specified in the PAIA, is the compilation of an information manual that provides information which includes the types and categories of records held by a private body (this relates to PAIA) as well certain information relating to the processing of personal information (this relates to the POPIA).
- 2.6 The PAIA and the POPIA are collectively referred to in this document as the "Acts".

3. SCOPE

- 3.1 The scope of this manual includes Shoprite Holdings Limited's corporate entities (collectively referred to as the "Shoprite Group" or "Shoprite").
- 3.2 This document serves as the Shoprite Group's information manual and provides reference to the records held by Shoprite as well as the personal information processed by Shoprite from time to time.

4. PURPOSE OF THE PAIA MANUAL

This PAIA Manual is useful for the public to:

- 4.1 check the categories of records held by a body which are available without a person having to submit a formal PAIA request;
- 4.2 have a sufficient understanding of how to make a request for access to a record of the body, by providing a description of the subjects on which the body holds records and the categories of records held on each subject;

- 4.3 know the description of the records of the body which are available in accordance with any other legislation;
- 4.4 access all the relevant contact details of the Information Officer and Deputy Information Officer who will assist the public with the records they intend to access;
- 4.5 know the description of the guide on how to use PAIA, as updated by the Regulator and how to obtain access to it;
- 4.6 know if the body will process personal information, the purpose of processing of personal information and the description of the categories of data subjects and of the information or categories of information relating thereto;
- 4.7 know the description of the categories of data subjects and of the information or categories of information relating thereto;
- 4.8 know the recipients or categories of recipients to whom the personal information may be supplied;
- 4.9 know if the body has planned to transfer or process personal information outside the Republic of South Africa and the recipients or categories of recipients to whom the personal information may be supplied; and
- 4.10 know whether the body has appropriate security measures to ensure the confidentiality, integrity and availability of the personal information which is to be processed.

5. KEY CONTACT DETAILS FOR ACCESS TO INFORMATION OF THE SHOPRITE GROUP

Information Officer	Deputy Information Officer
Checkers (Chatsworth) Pty Ltd	
Name: Pieter Gerrit du Preez	Name: Naim Kadwa
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Email: privacy@shoprite.co.za	Email: privacy@shoprite.co.za
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Shoprite DTMC (Pty) Ltd	
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Name: Pieter Gerrit du Preez	Name: Mirandi du Bruyn
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Shoprite Holdings Ltd	
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The Block Meat Company (Pty) Ltd	
Name: Pieter Gerrit du Preez	Name: Marié van Heerden
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Name: Pieter Gerrit du Preez	Name: Joseph Brönn
Tel: 021 980 4000	Tel: 021 980 4000
Email: privacy@shoprite.co.za	Email: privacy@shoprite.co.za
Access to information general contacts	
Email: privacy@shoprite.co.za	
National / Home Office	
<u>Postal Address:</u> P.O. Box 215 Brackenfell 7561	<u>Physical Address:</u> Cnr William Dabbs Street & Old Paarl Rd Brackenfell 7560
Tel: 021 980 4000	Email: privacy@shoprite.co.za
Website: https://www.shopriteholdings.co.za/	

6. INFORMATION REGULATOR

In the event that Shoprite did not adequately assist you or resolve your query, you may direct your queries and/or complaints to the Information Regulator as set out below:

Physical Address:	JD House 27 Stiemens Street Braamfontein Johannesburg 2001
Postal Address:	PO Box 31533 Braamfontein Johannesburg 2017
Website:	https://inforegulator.org.za
Email:	enquiries@inforegulator.org.za POPIAComplaints@inforegulator.org.za PAIACompliance@inforegulator.org.za

7. GUIDE ON HOW TO USE PAIA AND HOW TO OBTAIN ACCESS TO THE GUIDE

- 7.1 The Regulator has, in terms of section 10(1) of PAIA, as amended, updated and made available the revised Guide on how to use PAIA ("Guide"), in an easily comprehensible form and manner, as may reasonably be required by a person who wishes to exercise any right contemplated in PAIA and POPIA.

7.2 The Guide is available in each of the official languages and in braille.

7.3 The aforesaid Guide contains the description of-

- the objects of PAIA and POPIA;
- the postal and street address, phone and fax number and, if available, electronic mail address of-
 - the Information Officer of every public body, and
 - every Deputy Information Officer of every public and private body designated in terms of section 17(1) of PAIA¹ and section 56 of POPIA²;
- the manner and form of a request for-
 - access to a record of a public body contemplated in section 113; and
 - access to a record of a private body contemplated in section 50⁴;
- the assistance available from the Information officer of a public body in terms of PAIA and POPIA;
- the assistance available from the Regulator in terms of PAIA and POPIA;
- all remedies in law available regarding an act or failure to act in respect of a right or duty conferred or imposed by PAIA and POPIA, including the manner of lodging-
 - a complaint to the Regulator; and
 - an application with a court against a decision by the information officer of a public body, a decision on internal appeal or a decision by the Regulator or a decision of the head of a private body;
- the provisions of sections 14⁵ and 51⁶ requiring a public body and private body, respectively, to compile a manual, and how to obtain access to a manual;
- the provisions of sections 15⁷ and 52⁸ providing for the voluntary disclosure of categories of records by a public body and private body, respectively;

¹ Section 17(1) of PAIA- For the purposes of PAM, each public body must, subject to legislation governing the employment of personnel of the public body concerned, designate such number of persons as deputy information officers as are necessary to render the public body as accessible as reasonably possible for requesters of its records.

² Section 56(a) of POPIA- Each public and private body must make provision, in the manner prescribed in section 17 of the Promotion of Access to Information Act, with the necessary changes, for the designation of such a number of persons, if any, as deputy information officers as is necessary to perform the duties and responsibilities as set out in section 55(1) of POPIA.

³ Section 11(1) of PAM- A requester must be given access to a record of a public body if that requester complies with all the procedural requirements in PAM relating to a request for access to that record; and access to that record is not refused in terms of any ground for refusal contemplated in Chapter 4 of this Part.

⁴ Section 50(1) of PAM- A requester must be given access to any record of a private body if-

- a) that record is required for the exercise or protection of any rights;
- b) that person complies with the procedural requirements in PAM relating to a request for access to that record; and
- c) access to that record is not refused in terms of any ground for refusal contemplated in Chapter 4 of this Part.

⁵ Section 14(1) of PAM- The information officer of a public body must, in at least three official languages, make available a manual containing information listed in paragraph 4 above.

⁶ Section 51(1) of PAM- The head of a private body must make available a manual containing the description of the information listed in paragraph 4 above.

⁷ Section 15(1) of PAM- The information officer of a public body, must make available in the prescribed manner a description of the categories of records of the public body that are automatically available without a person having to request access

⁸ Section 52(1) of PAM- The head of a private body may, on a voluntary basis, make available in the prescribed manner a description of the categories of records of the private body that are automatically available without a person having to request access

- the notices issued in terms of sections 22⁹ and 54¹⁰ regarding fees to be paid in relation to requests for access; and
- the regulations made in terms of section 92¹¹.

7.4 Members of the public can inspect or make copies of the Guide from the offices of the public and private bodies, including the office of the Regulator, during normal working hours.

7.5 The Guide can also be obtained-

- upon request to the Information Officer; or
- from the website of the Regulator (<https://info regulator.org.za/>)

7.6 A copy of the Guide is also available in the following two official languages, for public inspection during normal office hours-

- English; and
- Afrikaans.

8. RECORDS AVAILABLE WITHOUT A PERSON HAVING TO REQUEST ACCESS

Type of the Record	Available on Website	Available upon request
Brochures and Newsletters	X	X
Integrated Annual Report	X	X
All information contained on our website	X	

9. RECORDS HELD IN ACCORDANCE WITH OTHER LEGISLATION

9.1 Certain legislation provides that private bodies shall allow certain persons access to specified records, upon request. Records are available in terms of the legislation detailed in Appendix "A" to this manual (as amended from time to time); however, due to the number of laws applicable to the Shoprite Group, the list of legislation may not be exhaustive.

9.2 Note that the information will only be provided in accordance with the requirements stipulated in the relevant pieces of legislation. If a requester believes that a right to access to a record exists in terms of the legislation above, or any other legislation, the requester is required to indicate what legislative right the request is based on, to allow the relevant Information Officer / Deputy Information the opportunity to consider the request in light thereof.

10. DESCRIPTION OF THE SUBJECTS ON WHICH RECORDS AND CATEGORIES OF RECORDS ARE HELD

10.1 The information contained in this section is intended to identify the main categories of records held by The Shoprite Group and to help the requester to gain a better understanding of the main business activities of the Shoprite. Further assistance in identifying the records held by the Shoprite Group can be obtained from the relevant Information Officer / Deputy Information Officer.

- 10.2 Records to which access will be provided in accordance with the PAIA (subject to the restrictions and right of refusal to access provided for in the PAIA) are available in respect of the non-exhaustive aspects of the Shoprite Groups' businesses and operations in Appendix "B".

11. PROCESSING OF PERSONAL INFORMATION

11.1 Purpose of Processing Personal Information

The purpose of processing your personal information is to provide our products and services to you or to establish a business relationship with you / regulate the employment relationship with you, including:

- to carry out actions for the conclusion or performance of a contract;
- to comply with obligations imposed by law;
- to protect the legitimate interests of the data subjects; or
- where it is necessary for pursuing the legitimate interests of the Companies.

The above list is non-exhaustive.

11.2 Categories of Data Subjects

Categories of Data Subjects	
Customers/Clients	Website/Application end users
Service Providers/Suppliers/Vendors	Consultants
Employees	Investors
Non-executive directors	Other 3rd parties Shoprite conducts business with
Visitors	

The above list is non-exhaustive.

11.3 Categories of Information

Categories of Information	
Natural persons	Juristic persons
• Name	• Name
• Identity or identifying number (e.g. passport number)	• Registration number
• Marital status	• Tax information
• Race	• Contact details
• Age	• Physical and postal addresses
• Gender	• FICA documentation
• Citizenship & Date of birth	• BEE certificates
• Language	• Payment details (incl. bank accounts)

Categories of Information	
Natural persons	Juristic persons
• Telephone number & Email address	• Invoices and contractual agreements
• Physical and postal addresses	
• Income tax number	
• Banking information	
• Disability information	
• Employment history	
• Background checks	
• Fingerprints	
• CVs	
• Education history	
• Remuneration and benefit information	
• Employee performance & disciplinary procedures	

The above list is non-exhaustive.

11.4 Categories of Recipients to whom Personal Information may be supplied

Categories of recipients
Other companies in the Group
Management, employees, temporary staff
Sub-contracted Operators
Stakeholders and shareholders
Service providers
Medical aid, pension or provident funds
Auditing and accounting bodies (internal and external)
Third parties with whom the Companies have contracted for the retention of data
Relevant authorities, government departments, statutory bodies or regulators
A court, administrative or judicial forum, arbitration or statutory commission making a request in terms of the applicable laws or rules

The above list is non-exhaustive.

11.5 Planned Trans-border flows of Personal Information

- 11.5.1 We may disclose personal information we process to any of our offshore subsidiaries, associate entities or third-party service providers with whom we

engage in business or whose services or products we elect to use, including cloud services hosted in international jurisdictions, where necessary.

- 11.5.2 Personal information may also be disclosed where we have a legal duty or right to do so. We will ensure that anyone to whom we transfer personal information is subject to a law or binding agreement which provides an adequate level of protection, and the third party agrees to treat that personal information with the same level of protection as we are obliged under the data privacy legislation.

11.6 Information Security Measures

- 11.6.1 We take your privacy and the security of your personal information seriously.
- 11.6.2 We have implemented reasonable security safeguards to protect the personal information that you give us. For example, sensitive data (such as your credit card information) is protected by SSL encryption when it is exchanged between your web browser and our website.
- 11.6.3 You can play a role in protecting your information by never sharing your username, PIN or password with anyone or submitting it to a website you don't recognise. Always log off after a web session and change your password regularly.
- 11.6.4 We regularly monitor our systems for possible vulnerabilities and attacks. No system is perfect so we cannot guarantee that information may not be accessed, disclosed, altered or destroyed by breach of any of our physical, technical or managerial safeguards.
- 11.6.5 Please note that any email you send to us is not encrypted and may be monitored by us. Please do not send us sensitive or confidential personal information by email.
- 11.6.6 We will take steps to inform you and the Information Regulator if the personal information we process is ever compromised.
- 11.6.7 Although we cannot prevent all security threats, we have measures in place to minimise the threat to your privacy. Should there be a data breach where your personal information is directly affected, we will follow the guidelines provided by applicable law in order to inform you.

11.7 Data Subject's Rights

- 11.7.1 You have the right to request the correction, deletion or destruction of your personal information. Please refer to Form 2.2, Appendix "C".
- 11.7.2 You may object to the processing of your personal information. Please refer to Form 2.3, and Appendix "C".
- 11.7.3 You have the right to request access to personal information as per the provisions of POPIA in terms of PAIA. Please refer to Form 2, Appendix "C". This process is outlined below.

12. ACCESS REQUESTS

12.1 Completion of Request for Access Form

To facilitate a timely response to requests for access, all requesters should take note of the following when completing the Access Request Form 2, Appendix "C".

- 12.1.1 The Access Request Form, attached as Appendix "C" hereto, must be completed.
- 12.1.2 Proof of identity is required to authenticate the identity of the requester in addition to the Access Request Form, requesters will be required to supply a certified copy

of their identification document or a valid passport document, or if a legal entity, a certified copy of the Company Registration Certificate.

- 12.1.3 Type or print in BLOCK LETTERS an answer to every question.
- 12.1.4 If a question does not apply, state "N/A" in response to that question.
- 12.1.5 If there is nothing to disclose in reply to a particular question state "NIL" in response to that question.
- 12.1.6 If there is insufficient space on the printed form, additional information may be provided on an additional attached folio.
- 12.1.7 When the use of an additional folio is required, precede each answer with the applicable title.
 - Please note that the successful completion and submission of an Access Request Form does not automatically allow the requester access to the requested record. An application for access to a record is subject to certain limitations if the requested record falls within a certain category as specified within Part 3 Chapter 4 of the PAIA.
 - If it is suspected that the requester has obtained access to records through the submission of materially false or misleading information, legal proceedings may be instituted against such requester.

12.2 Submission of Access Request Form

- 12.2.1 The completed Access Request Form, together with a certified copy of the requester's identity document, must be addressed to the relevant Information Officer / Deputy Information Officer and submitted to privacy@shoprite.co.za.
- 12.2.2 An initial request fee based on the Prescribed Fees as set out in Appendix "D" is payable on submission of the Access Request Form.
- 12.2.3 A request for access to information which is not housed under Form 2, Appendix "C" or which does not comply with POPIA or PAIA will be rejected and returned to you.

12.3 Payment of Fees

- 12.3.1 Payment details can be obtained from the relevant Information Officer / Deputy Information Officer indicated above and can be made either via a direct deposit (no credit card payments are accepted). Proof of payment must be supplied via the contact details stated in paragraph 5.
- 12.3.2 If the request for access is successful an access fee may be required for the search, reproduction and/or preparation of the record(s) and will be calculated based on the Prescribed Fees as set out in Appendix "D" hereto. The access fee must be paid prior to access being given to the requested record.

12.4 Notification

- 12.4.1 The relevant Information Officer / Deputy Information Officer will, within 30 (Thirty) days of receipt of the request, decide whether to grant or decline the request and give notice with reasons (if required) to that effect.
- 12.4.2 This 30 (Thirty) day period may be extended for a further period of not more than 30 (thirty) days, if the request is for a large volume of information, or the request requires a search for information held at other offices of one or more of the Companies and the information cannot reasonably be obtained within the original 30 (Thirty) day period. The requester will be notified in writing should an extension be sought.

- 12.4.3 If it is suspected that the requester has obtained access to records through the submission of materially false or misleading information, legal proceedings may be instituted against such requester.

12.5 Grounds for Refusal

- 12.5.1 There are various grounds upon which your request for access to a record may be refused. They are:
- the protection of personal information of a third party (who is a natural person) from unreasonable disclosure;
 - the protection of commercial information of a third party (for example, trade secrets, financial, commercial, scientific or technical information that may harm the commercial or financial interests of a third party);
 - refusing access to a record if it would result in the breach of a duty of confidence owed to a third party;
 - refusing access to a record if it would jeopardise the safety of an individual or prejudice or impair certain property rights of a third person;
 - refusing access to a record that was produced during legal proceedings, unless that legal privilege has been waived;
 - refusing access to a record containing trade secrets, financial or sensitive information or any information that would put the Shoprite Group at a disadvantage in negotiations or prejudice it in commercial competition; and
 - refusing access to a record containing information about research being carried out or about to be carried out on behalf of a third party or by the Shoprite Group.
- 12.5.2 Section 70 of the Act contains an overriding provision. Disclosure is compulsory if it would reveal a substantial contravention of, or failure to comply with the law, or imminent and serious public safety or environmental risk and the public interest in the disclosure of the record clearly outweighs the harm contemplated by its disclosure.
- 12.5.3 If your request does affect a third party, we will need to inform the third party within 21 (Twenty-One) days of receiving your request. By this we mean that if the record you request affects any third party in any way, that third party will have to be informed that you are requesting access to the above record.
- 12.5.4 The third party then has 21 (Twenty-One) days to make representations and/or submissions regarding the granting of access to the record.

12.6 Remedies available to a Requester on refusal of access

- 12.6.1 If an Information Officer decides to grant a requester access to the particular record such success may be granted within 30 (Thirty) days of being informed of the decision.
- 12.6.2 Where the Information Officer declines any requester access to the particular record, such decision will be relayed to the requestor. There is no internal appeal procedure.
- 12.6.3 In the event that you are not satisfied with the outcome you are entitled to apply to the Information Regulator or a court of competent jurisdiction to take the matter further.
- 12.6.4 Where a third party is affected by the request for access and the Information Officer has decided to grant you access to the record, the third party has 30 (thirty) days in which to appeal the decision in a court of competent jurisdiction. If no appeal has been lodged by the third party within 30 (Thirty) days, you must be granted access to the record.

13. INFORMATION ON RECORDS NOT FOUND

- 13.1 If all reasonable steps have been taken to find a record, and such a record cannot be found or if the record does not exist, then Shoprite will notify the requestor, by way of an affidavit or affirmation, that it is not possible to give access to the requested record.
- 13.2 The affidavit or affirmation will provide a full account of all the steps taken to find the records or to determine the existence thereof, including details of all communications by Shoprite with every person who conducted the search.
- 13.3 If the record in question should later be found, the Requestor shall be given access to the record in the manner stipulated by the requestor unless access is refused by Shoprite as permitted by the Act.

14. PAIA FORMS

Please visit the website of the Information Regulator for all PAIA related forms to exercise your rights:

Form	Use
Form 01	Request for a Guide from the Information Regulator
Form 01	Request for a copy of the Guide from the Information Officer of Shoprite
Form 02	Request for access to a record from Shoprite
Form 03	Outcome of request and fees payable
Form 04	Internal appeal form
Form 05	Complaint form
Form 13	PAIA request for compliance assessment form

15. AVAILABILITY OF THE MANUAL

- 15.1 A copy of the Manual is available-
- on <https://www.shopriteholdings.co.za;>
 - at the head office of Shoprite Ltd for public inspection during normal business hours;
 - to any person upon request and upon the payment of a reasonable prescribed fee; and
 - to the Information Regulator upon request.
- 15.2 A fee for a copy of the Manual, as contemplated in annexure B of the Regulations, shall be payable per each A4-size photocopy made.

16. UPDATING OF THE MANUAL

The Information Officer, Pieter Gerrit du Preez will update this manual on a regular basis.

Appendix "A"

RECORDS HELD IN ACCORDANCE WITH OTHER LEGISLATION

Companies Act No 71 of 2008
- Documents of Incorporation
- Memorandum of Incorporation
- Trust Deeds
- Minute books, general and special resolutions passed at any meeting of Shareholders of the Company or any class of Shareholders
- Register of Members / Shareholders / Directors / Company Secretary / Public Officers
- Branch registers
- Annual Financial Statements
- Books of Account required by the Act
- All other records required by the Act
Employment Equity Act No. 55 of 1998, as amended
- Employment Equity Plan
- Workforce Profile
- All other records required by the Act
Basic Conditions of Employment Act No. 75 of 1977
- Each employee's name and occupation;
- Time worked by each employee;
- Remuneration paid to each employee
- All other records required by the Act
Labour Relations Act No. 66 of 1995
- All records required in compliance with any collective agreement, arbitration award or determination made in terms of the National Minimum Wage Act 9 of 2018
- All records of the prescribed details of any strike, lock-out or protest action involving the Company's employees
- All disciplinary records
- All other records required by the Act
Occupational Health and Safety Act No. 85 of 1993
- A copy of the Act
- An incident register certificate of compliance (in respect of all electrical installations)
- First Aid certificate (valid for 3 years)
- Refrigeration / air-conditioning record book
- All records required by the Act

Compensation for Occupational Injuries and Diseases Act No. 130 of 1993
- The register or other record of the earnings and other prescribed particulars of all employees, for example, wages paid, time worked and payment made for piecework and overtime
Broad-Based Black Economic Empowerment Act No. 53 of 2003
- All records required by the Act and the relevant Codes
Skills Development Act No. 97 of 1998
- Annual training reports and the annual training plan
Skills Development Levies Act No. 97 of 1998
- All records required by the Act
Unemployment Insurance Act No. 30 of 1966
- Records detailing the contributions employed by the employer in respect of earnings paid, time worked, payments made for piece work and overtime
Pension Funds Act No. 24 of 1956
- All records required by the Act
Income Tax Act No. 58 of 1962
- All ledgers, cash books, journals, cheque books, bank statements, deposit slips, pay cheques, invoices, stock lists and all other books of account
- Signed copy of Annual Financial Statements
- Books of Account recording information required by the Companies Act
- Invoices - issued and received
- All records required by the Act
Value Added Tax Act No. 89 of 1991
- Books of account, documents recording the supply of goods to or by the vendor, invoices, tax invoices, credit and debit notes, bank statements, deposit slips, stock lists and paid cheques
- All records required by the Act
National Credit Act No. 34 of 2005
- All records which may be required by the Act
Customs and Excise Act No. 91 of 1964
- Bills of entry
- Books of account required by the Act
- Records of the person from whom imported goods were obtained and, if he is the importer or manufacturer or owner, as to the place where the duty due thereon was paid, the date of payment, the particulars of the entry for home consumption and the marks and numbers of cases, packages, bales and other articles concerned.
Electronic Communications and Transactions Act No. 25 of 2002
- All records required by the Act

Competition Act No. 89 of 1998
- All records required by the Act
General Notice 2219, 31 October 1980 Export Incentive Scheme
- Documents evidencing claims for products exported on or after 01 September 1980
Liquor Products Act No. 60 of 1989
- Import Certificate
- All records required by the Act
Regulation of Interception of Communications and Provision of Communication related Information Amendment Act No. 48 of 2008
- All records required by the Act
National Liquor Act No. 27 of 1989
- All records of liquor licenses in respect of all stores
Legal Metrology Act No. 9 of 2014
- All records required by the Act
Standards Act No. 29 of 1993
- All records required by the Act
Merchandise Marks Act No. 17 of 1941
- All records required by the Act
Fertilizers Farm Feeds Agricultural Remedies and Stock Remedies Act No. 36 of 1947
- All records required by the Act
Financial Advisory and Intermediary Services Act No. 37 of 2002
- All records required by the Act
Financial Intelligence Centre Act No. 38 of 2001
- All records required by the Act
Agricultural Products Standards Act No. 119 of 1990
- All records required by the Act
Marketing of Agricultural Products Act No. 47 of 1996
- All records required by the Act
Foodstuffs, Cosmetics and Disinfectants Act No. 54 of 1972
- All records required by the Act
Businesses Act No. 71 of 1991
- Licenses held in terms of the Act
Banks Act No. 94 of 1990
- All records required by the Act

Financial Markets Act No. 19 of 2012
- All records required by the Act
Financial Sector Regulation Act No. 9 of 2017
- All records required by the Act
Hazardous Substances Act No. 15 of 1973
- All records required by the Act
Films and Publications Act No. 65 of 1996
- All records required by the Act
Pharmacy Act No. 53 of 1974
- All records required by the Act
Spatial Planning and Land Use Management Act No. 16 of 2013
- All records required by the Act
Medicines and Related Substances Act No. 101 of 1965
- All records required by the Act
Immigration Act No. 413 of 2002
- All records required by the Act
Patents Act No. 57 of 1978
- All records required by the Act
Trademarks Act No. 194 of 1993
- All records required by the Act
Designs Act No. 195 of 1993
- All records required by the Act
Medical Schemes Act No. 131 of 1998
- All records required by the Act
National Building Regulations and Building Standards Act No. 103 of 1977
- All records required by the Act
Consumer Protection Act No. 68 of 2008
- All records required by the Act
Protection of Personal Information Act No. 4 of 2013
- All records required by the Act

Whilst all reasonable endeavours have been made to provide a complete list of applicable legislation above, it is possible that the above list may be incomplete. Wherever it comes to Shoprite's attention that existing or new legislation allows a requester access on a basis other than that set out in the PAIA, the above list will be updated.

Appendix "B"

DESCRIPTION OF THE SUBJECTS ON WHICH RECORDS AND CATEGORIES OF RECORDS ARE HELD

Administrative Records / Company Records
Correspondence
Company policies and directives
Records of all local subsidiaries and other juristic persons in which it has direct or indirect interest
Records required in terms of JSE Listing Requirements
Insurance policies
Registered designs, trademarks and patents
Operational records
Material licenses, permits and authorisations
Legal records
Human Resources records
Recruitment records
Employment contracts
Employee records
Code of Conduct and other employment policies
Conditions of employment
Confidentiality agreements
Restraint of trade agreements
CCMA records
Medical aid records
Retirement records
Pension fund records
Training records and schedules
Remuneration and other employee benefit records
Disciplinary records
Agreements with trade unions
Service agreements
Commission agreements
Casual employee records
Leave/absence from work records

Registrations with Department of Labour, Unemployment Insurance Fund, Compensation Fund and in terms of the Skills Development Levies Act
Records of Unemployment Insurance Fund contributions
Records relating to employee benefits
Health and safety records
Building security, surveillance and monitoring data
Other internal records
Company Secretarial Records
Register of Directors
Incorporation Documents
Minute Books
Share register
Share certificates
Annual Returns
The public officer and other officers
Powers of Attorney
Dividend and interest payment list
Financial Records
Annual Financial Statements
Accounting records including journals and ledgers
Budgets and projection
Financial Transactions
Banking details
Treasury related information
Internal Audit records
Management Accounts
Purchase and Order information
Tax records (company and employee)
Debtors records
Creditors records
Insurance records
Delivery notes, orders, invoices, statements, receipts and vouchers
Customer records and Credit Services
Customer records
Sales records
Debtors information

Suretyship Agreements
Terms and Conditions
Transaction records
Supplier Records
Supplier on-boarding documents
Service Level Agreements
Supply terms and conditions
Purchase Order Information
Records relating to all distribution centres
Account information
Property records, Building and Premises
Title deeds
Lease agreements
Contracts in respect of properties
Visitor access records
Maintenance records
Security, surveillance and monitoring data
Franchise records
All franchise records in respect of local and foreign franchisees
Information Technology Records
Business and data information
Information Technology capabilities
Systems and User manuals
Support and maintenance agreements
Incident reporting log
Playbooks and IT Policies and Procedures
Hardware
Databases
Telephone and other lines
Operating systems and other operational records
Product Records
Product specification records in respect of private label products, including recipes, approved ingredients, final products and standards
Reports of chemical testing on all private label food products
General product testing results
Records for the costs of goods acquired for re-sale and the selling price of such goods

Communications
External correspondence
Meeting minutes
Marketing
Marketing and advertising records
Records pertaining to health and safety and the environment
Records of other third parties
<p>Records are kept in respect of other parties, including without limitation, contractors, commercial banks, auditors and consultants, suppliers, joint venture companies and service providers and general market conditions.</p> <p>Such other parties may process records belonging to the Shoprite Group. The following records fall under this category:</p> <ul style="list-style-type: none"> • Personnel, customer or Shoprite records which are held by another party as opposed to being held by Shoprite; and • Records held by Shoprite pertaining to other parties, including financial records, correspondence, contractual records, records provided by the other party and records third parties have provided about the contractors or suppliers.

FORM 2 REQUEST FOR ACCESS TO RECORD

[Regulation 7]

Note:

1. Proof of identity must be attached by the requester.
2. If requests are made on behalf of another person, proof of such authorisation must be attached to this form.

TO: The Information Officer

VIA E-MAIL: privacy@shoprite.co.za

Mark with an "X"

☐ Request is made in my own name ☐ Request is made on behalf of another person.

PERSONAL INFORMATION			
Full Names			
Identity Number			
Capacity in which request is made (when made on behalf of another person)			
Postal Address			
Street Address			
E-mail Address			
Contact Numbers	Tel:		Facsimile:
	Cellular:		
Full name of person on whose behalf request is made (if applicable):			
Identity Number			
Postal Address			
Street Address			
E-mail Address			

Contact Numbers	Tel:		Facsimile	
	Cellular			
PARTICULARS OF RECORD REQUESTED <i>Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located. (If the provided space is inadequate, please continue on a separate page and attach it to this form. All additional pages must be signed.)</i>				
Description of record or relevant part of the record:				
Reference number, if available				
Any further particulars of record				
TYPE OF RECORD <i>(Mark the applicable box with an "X")</i>				
Record is in written or printed form				
Record comprises virtual images <i>(this includes photographs, slides, video recordings, computer-generated images, sketches, etc)</i>				
Record consists of recorded words or information which can be reproduced in sound				
Record is held on a computer or in an electronic, or machine-readable form				

FORM OF ACCESS*(Mark the applicable box with an "X")*

Printed copy of record <i>(including copies of any virtual images, transcriptions and information held on computer or in an electronic or machine-readable form)</i>	
Written or printed transcription of virtual images <i>(this includes photographs, slides, video recordings, computer-generated images, sketches, etc)</i>	
Transcription of soundtrack <i>(written or printed document)</i>	
Copy of record on flash drive <i>(including virtual images and soundtracks)</i>	
Copy of record on compact disc drive <i>(including virtual images and soundtracks)</i>	
Copy of record saved on cloud storage server	

MANNER OF ACCESS*(Mark the applicable box with an "X")*

Personal inspection of record at registered address of public/private body <i>(including listening to recorded words, information which can be reproduced in sound, or information held on computer or in an electronic or machine-readable form).</i>	
Postal services to postal address	
Postal services to street address	
Courier service to street address	
Facsimile of information in written or printed format <i>(including transcriptions)</i>	
E-mail of information <i>(including soundtracks if possible)</i>	
Cloud share/file transfer	
Preferred language <i>(Note, that if the record is not available in the language you prefer, access may be granted in the language in which the record is available).</i>	

PARTICULARS OF RIGHT TO BE EXERCISED OR PROTECTED*If the provided space is inadequate, please continue on a separate page and attach it to this Form. The requester must sign all the additional pages.*

Indicate which right is to be exercised or protected	
Explain why the record requested is required for the exercise or protection of the aforementioned right:	

FEES

- a) A request fee must be paid before the request will be considered.
- b) You will be notified of the amount of the access fee to be paid.
- c) The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.
- d) If you qualify for exemption of the payment of any fee, please state the reason for exemption

Reason:

You will be notified in writing whether your request has been approved or denied and if approved the costs relating to your request, if any. Please indicate your preferred manner of correspondence (*Please specify below*):

Postal Address	Facsimile	Email

Signed at _____ on this _____ day of _____ 20 _____.

Signature of Requester / person on whose behalf request is made

FOR OFFICIAL USE

Reference number:	
Request received by: (State Rank, Name and Surname of Information Officer)	
Date received:	
Access fees:	
Deposit (if any):	

Signature of Information Officer

FORM 2.2

REQUEST FOR CORRECTION OR DELETION OF PERSONAL INFORMATION OR DESTROYING OR DELETION OF RECORD OF PERSONAL INFORMATION

Request for (please select):

<input type="checkbox"/>	Correction of the personal information about the data subject held by the responsible party.
<input type="checkbox"/>	Deletion of specific personal information about the data subject held by the responsible party.
<input type="checkbox"/>	Destroying or deletion of an entire record of personal information about the data subject held by the responsible party (note that this will apply to all products and divisions of the Shoprite Group, including Xtra Savings and Sixty60).

DETAILS OF THE DATA SUBJECT:

Name and surname	
Unique identifier or ID number	
Address	
Cell phone number	
Email address	

DETAILS OF THE RESPONSIBLE PARTY:

Name:	Shoprite Checkers (Pty) Ltd
Address:	Corner William Dabbs Street and Old Paarl Roads
	Brackenfell, Cape Town
	7561
Email Address	privacy@shoprite.co.za

INFORMATION TO BE CORRECTED/DELETED:

--

REASONS FOR CORRECTION/DELETION/DESTROYING ENTIRE RECORD OF PERSONAL INFORMATION:

Signed at _____ on this _____ day of _____ 20 _____.

Signature of Data Subject / Designated Person

FORM 2.3

OBJECTION TO THE PROCESSING OF PERSONAL INFORMATION

Note:

1. Affidavits or other documentary evidence, applicable in support of the objection, may be attached.
2. If the space provided for in this Form is inadequate, submit information as an Annexure to this Form and sign each page.
3. Complete as is applicable.

A. DETAILS OF DATA SUBJECT	
Name(s), Surname / Registered Name of Data Subject	
Unique Identifier / Identity Number	
Residential, Postal or Business Address:	
	Code: <input type="text"/>
Contact number(s):	
E-mail address:	
B. DETAILS OF RESPONSIBLE PARTY	
Name(s), Surname / Registered Name of Responsible Party:	
Residential, Postal or Business Address:	
	Code: <input type="text"/>
Contact number(s):	
E-mail address:	
C. REASONS FOR OBJECTING IN TERMS OF SECTION 11(1)(D) TO (F) - PLEASE PROVIDE DETAILED REASONS FOR OBJECTION	

Signed at _____ on this _____ day of _____ 20____.

Signature of Data Subject / Designated Person

FORM 3

OUTCOME OF REQUEST AND OF FEES PAYABLE

[Regulation 8]

Note:

1. If your request is granted the
 - (a) amount of the deposit, (if any), is payable before your request is processed; and
 - (b) requested record/portion of the record will only be released once proof of full payment is received.
2. Please use the reference number hereunder in all future correspondence.

Reference number: _____

TO: The Information Officer

VIA E-MAIL: privacy@shoprite.co.za

1. You requested:

Personal inspection of information at registered address of public/private body (including listening to recorded words, information which can be reproduced in sound, or information held on computer or in an electronic or machine-readable form) is free of charge. You are required to make an appointment for the inspection of the information and to bring this Form with you. If you then require any form of reproduction of the information, you will be liable for the fees prescribed in Annexure D.	
--	--

OR

2. You requested:

Printed copies of the information (including copies of any virtual images, transcript information held on computer or in an electronic or machine-readable form)	
Written or printed transcription of virtual images (this includes photographs, slides, video recordings, computer-generated images, sketches, etc)	
Transcription of soundtrack (written or printed document)	
Copy of information on flash drive (including virtual images and soundtracks)	
Copy of information on compact disc drive(including virtual images and soundtracks)	
Copy of record saved on cloud storage server	

3. To be submitted:

Postal services to postal address	
Postal services to street address	
Courier service to street address	
Facsimile of information in written or printed format (including transcriptions)	
E-mail of information (including soundtracks if possible)	
Cloud share/file transfer	
Preferred language: (Note that if the record is not available in the language you prefer, the record will be granted in the language in which the record is available)	

Kindly note that your request has been:

☐
☐

Approved

Denied, for the following reasons:

4. Fees payable with regards to your request:

Item	Cost per A4 size page or part thereof	Number of pages/items	Total
Photocopy			
Printed Copy			
For a copy in a computer readable form on: 1) Flash drive (to be provided by requester) 2) Compact disc (provided by requestor) 3) Compact disc (provided to requestor)	R40.00 R40.00 R60.00		
For transcription of visual images per A4 size page			
Copy of visual images			
Transcription of an audio record, per A4 size			
Copy of an audio record: 1) Flash drive (to be provided by requester) 2) Compact disc (provided by requestor) 3) Compact disc (provided to requestor)	R40.00 R40.00 R60.00		
Postage, e-mail or any other electronic transfer:			

5. Deposit payable (if search exceeds six hours):

☐

Yes

☐

No

Hours of search		Amount of deposit (calculated on one third of total amount per request)	
-----------------	--	---	--

The amount must be paid into the following Bank account:

Name of Bank: _____

Name of account holder: _____

Type of account: _____

Account number: _____

Branch Code: _____

Reference Nr: _____

Submit proof of payment to: _____

Signed at _____ on this _____ day of _____ 20 _____.

Signature of Information Officer

PRESCRIBED FEES IN RESPECT OF REQUESTS FOR INFORMATION

FEES IN RESPECT OF PRIVATE BODIES		
Item	Description	Amount
1.	The request fee payable by every requester	R140.00
2.	Photocopy/printed black and white copy of A4-size page	R2.00 per page or part thereof.
3.	Printed copy of A4-size page	R2.00 per page or part thereof.
4.	For a copy in a computer-readable form on: <ul style="list-style-type: none"> Flash drive (to be provided by requester) Compact disc <ul style="list-style-type: none"> if provided by requester if provided to the requester 	R40.00 R40.00 R60.00
5.	For a transcription of visual images per A4-size page	Service to be outsourced. Will depend on quotation from service provider.
6.	Copy of visual images	
7.	Transcription of an audio record, per A4-size page	R24.00
8.	Copy of an audio record, per A4-size page <ul style="list-style-type: none"> Flash drive (to be provided by requestor) Compact disc <ul style="list-style-type: none"> if provided by requester if provided to the requester 	R40.00 R40.00 R60.00
9.	To search for and prepare the record for disclosure for each hour or part of an hour, excluding the first hour, reasonably required for such search and preparation. To not exceed the cost of	R145.00 R435.00
10.	Deposit: If search exceeds 6 hours	One third of amount per request calculated in terms of items 2 to 8.
11.	Postage, e-mail or any other electronic transfer	Actual expense, if any.